

PREQUALIFICATION QUESTIONNAIRE

August 5, 2020

For

CAMPUS ELECTRICAL SUBSTATION PROJECT NO. 950568 CONTRACT NO. 950568-LF-2021-12

UNIVERSITY OF CALIFORNIA, RIVERSIDE

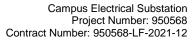
SUBMITTED BY:	(8:11	
	(Bidder I	Name. If a Joint Venture, state name of JV Entity)
	Type of Organization:	Sole Proprietor/Individual Partnership
		☐ Joint Venture
		☐ Corporation
		(State of Incorporation)
		(Contact Name & Title)
		(Street Address)
		(City, State, Zip Code)
	(Talanhana Numbar)	(Faccinal a Number)
	(Telephone Number)	(Facsimile Number)
		(E-mail)

Each prospective bidder must answer all of the following questions and provide all requested information. Any prospective bidder failing to do so will be deemed not responsive and not prequalified with respect to this Prequalification at the University's sole discretion. All bidders that submit a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status.

Prospective bidders that correctly respond to all questions that require a specific "YES" or "NO" response to prequalify per the Prequalification Questionnaire Evaluation form, submit all required information and supporting data, obtain the total requisite number of points per response as required AND are determined to have accurately and truthfully responded to the questions will be prequalified. Only those bidders that have been determined to be prequalified will be eligible to submit a bid for the Project.

If a prospective bidder is determined by the University not to be prequalified, the prospective bidder may request a review by the University of California, Riverside. If any person or entity is not satisfied with the outcome of the prequalification, such person or entity may file an objection with the University of California, Riverside. Any such a request or objection must be submitted in writing and received by the University of California, Riverside, at the address for receipt of Prequalification Questionnaires listed on the Advertisement for Contractor Prequalification no later than 3 calendar days after the date of the University's written notice regarding prequalification determination. The decision resulting from such review is final and is not appealable within the University of California. Any assertion that the outcome of the prequalification process was improper will not be grounds for a bid protest.

All information submitted for prequalification evaluation in response to Sections 3 and 16 and marked as "confidential" will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information marked "confidential" by the provisions of the





California Public Records Act, the University will notify the prospective bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective bidder may seek a restraining order in advance of such disclosure. The University shall err on the side of transparency and will generally treat information provided by the prospective bidder that is not marked "confidential" as subject to disclosure pursuant to the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

All other information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

As used herein, the terms "prospective bidder" or "bidder" or "contractor" or "entity" mean the same. The terms "prospective bidder" or "contractor" or "entity" mean all entities and individuals who are intended to work as a part of, for, or under the prospective bidder for the Project that is the subject of this prequalification process and includes, without limitation, such entities or individuals who are prime contractors, and if a joint venture, all members of the joint venture. Whenever an individual or entity is referred to, the reference includes the individual or entity and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, predecessors and successors in interest of or to the individual or entity. For instance, a reference to a bidder includes the prospective bidder and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, insurers, predecessor businesses and successor businesses of the bidder.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS. Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted.

SUBMIT ONE (1) ORIGINAL ELECTRONIC COPY NO LATER THAN THE DEADLINE IN THE ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION.

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 2 Traditional



1. SURVEY (Information Only)

	How	How did you hear about the prequalification for this Project?					
] Press Enterprise] McGraw Hill ("Greensheet")] Reed	UCR Website Other:				
2.	LICE	NSE(S) (Pass/Fail Section)					
	A.	Do you have the following State California Contractor's State Lice	e of California contractor's license current and active with the nse Board?				
		License Classification & Code: C	-10 Electrical				
		YES NO NO					
		of the requisite license. If the pro the license and satisfy all of the	ubmitting this Prequalification Questionnaire must be the holder is pective bidder is a Joint Venture, the Joint Venture must hold requirements in this Prequalification Questionnaire as the joint int venture must have completed the projects that it cites as joint venture.)				
	B.	If "YES," provide the following infe	ormation about the contractor's license:				
		(1) Name of license holder exact License Board:	ctly as on file with the California Contractor's State				
		(2) License Number:					
		(3) Issue Date:	(4) Expiration Date:				
	C.		been suspended or revoked by the California Contractor's State (5) calendar years preceding the deadline for submission of the				
		YES NO					



3. NOT USED

4. SURETY (Pass/Fail Section)

Prospective bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

A.	State of Cal	ifornia as an admitted surety insurer (as defined in the California Code of Civil ection 995.120)?
	YES 🗌	NO 🗆
B.	Is the prospe	ective bidder able to obtain bonding up to \$3.5 Million for this Project?
	YES 🗌	NO 🗆
	Bond Capac	sity:
	(1) Provid	e your maximum bonding limit per project:
	(2) Provid	e your aggregate bonding limit:
	(3) Provid	e your available bonding capacity:
C.	benefit of the	ety paid out any monies on claims on the payment bond issued by a surety for the e owner arising out of the construction activities of the bidder within the last five (5) ne date of the Prequalification Deadline?
	YES 🗌	NO 🗆
D.	the benefit o	ety paid out any monies on claims on the performance bond issued by a surety for f an owner arising out of the construction activities of the bidder within the last five years preceding the deadline for submission of the Prequalification Questionnaire?
	YES 🗌	NO 🗆



E. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

(If bidder has more than one surety, submit a completed form for each.)

(ii blader hae mere than ene earety, eaching a	sompleted form for eachily
The undersigned declares under penalty of pabove on this Prequalification Questionnaire	
is true and correct and that this Declaration v	(Name of Prospective Bidder)
is true and correct and that this beclaration v	vas executed in
(Name of City if within a City, otherwise Name of County)	n the State of, (State)
(Name of City if within a City, otherwise Name of County)	(State)
on (Date)	
(Date)	
(Sig	gnature)
(Name and Title	e - Printed or Typed)
`	<i>7</i> 1
(Representin	ng [Surety Name])
(Entity Name if Diffe	erent than Surety Name)
(Stree	et Address)
(City, Sta	ate, Zip Code)
(Telephone Number)	(Facsimile Number)
(E	E-mail)

(ATTACH ORIGINAL NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)



5. INSURER (Pass/Fail Section)

Prospective bidder shall obtain and submit the Insurance Declaration in the form shown below, or submit a sample certificate of insurance form from its insurer, or submit a letter that declares the same as the Insurance Declaration, signed by an authorized representative of its insurer on the representative's or insurer's letterhead. (If more than one insurer or insurance representative, submit a completed form or sample certificate of insurance form or letter for each.)

A. Is the bidder able to obtain insurance in the following limits fo	r the required coverages?
YES NO NO	
Commercial Form General Liability Insurance* - Limits of Liability Each Occurrence - Combined Single Limit for Bodily Injury and	Minimum Requirement
Property Damage	\$1,000,000
Products - Completed Operations Aggregate	\$1,000,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000
Business Automobile Liability Insurance* - Limits of Liability Each Accident - Combined Single Limit for Bodily Injury and Propert	Minimum Requirement
Damage	\$1,000,000
Workers' Compensation and Employer's Liability**	Minimum Requirement
Workers' Compensation:	(as required by Federal
·	and State of California law)
Employer's Liability:	
Each Employee	\$1,000,000
Each Accident	\$1,000,000
Each Policy	\$1,000,000

^{*}This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 6 Traditional

^{**}This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.



B. **Insurance Declaration**:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION AND HAVE YOUR CARRIER RETURN THE COMPLETED DECLARATION TO YOU. THE PROSPECTIVE CONTRACTOR MUST SUBMIT THIS DECLARATION TO UNIVERSITY. DO NOT HAVE YOUR CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY

Questionnaire for and that this Declaration was executed in	(Name of Prospective Bidder)	
and that this Declaration was executed in		
	, in the State of	
(Name of City if within a City, otherwise Name of County)		(State)
on .		
(Date)		
	(Signature)	
//	Name &Title)	
(i	varrie & rille)	
(Ir	nsurer Name)	
(Si	treet Address)	
`	,	
(O:h.)	Otata 9 7in Cada)	
(City, s	State & Zip Code)	
(Telephone Number)	(Face	simile Number)
(Mark He Messake a)		(F 'I)
(Mobile Number)		(Email)



6. CONSTRUCTION EXPERIENCE (Pass/Fail Section)

A.	Does the prosp	ective bidder have the construction experience required below?
	YES 🗌	NO 🗆

- 1. Has completed, on or after **December 01, 2010**, at least **4 projects (private or public)** in the United States of America:
 - a. Acting as the prime or subcontractor during the construction phase on the project;
 - b. With an initial construction contract (prime or subcontract) award of \$1,000,000 or more;
 - c. Where the construction involved installation of medium voltage switchgear (greater than 600V) and 2 or more of the following: providing temporary generators, installation of medium voltage duct bank, performed medium voltage splicing, as required in the attached Project Data Sheets;
 - d. Where the construction work occurred in an occupied building <u>or</u> immediately adjacent to an occupied building;
 - e. That satisfies the Staff Rating requirements in the attached Project Data Sheets; and
 - f. That satisfies the Responsible Performance requirements in the attached Project Data Sheets.

Complete and submit the attached Project Data Sheets as evidence that your construction experience satisfies the above requirements.

The term "completed" as used above in this Section means that (1) all work has been completed per your contract and (2) the improvement/facility has been approved and/or accepted (i.e., passed final inspection) by the owner.

NOTE THAT PROJECT REFERENCES WILL BE CONTACTED FOR VERIFICATION OF THE INFORMATION REPORTED ON THE PROJECT DATA SHEETS. IN CASE OF CONFLICT BETWEEN THE INFORMATION REPORTED BY THE PROSPECTIVE BIDDER AND THE INFORMATION PROVIDED BY THE REFERENCE, THE INFORMATION PROVIDED BY REFERENCE SHALL TAKE PRECEDENCE AND AMBIGUITIES SHALL BE RESOLVED AGAINST THE PROSPECTIVE BIDDER.

- B. A bidder wishing to use a predecessor business to satisfy prequalification requirements must demonstrate with written information submitted with this Prequalification Questionnaire that it is substantially the same organization (in terms of who is managing the prospective bidder) as the predecessor business. A bidder may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor's License Law) for:
 - (1) Contractor's license of contractor which shall be the same type as the license required for the contract; and
 - (2) Contractor's license of predecessor business which shall also be the same type as the license required for the contract.

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 8 Traditional



PROJECT DATA SHEET NO. 1

Project Nam	e:					
Location:	(5	Street Address)	,	(City)		(State & 2
Owner:						
Contact Nam	e:		Tel:		Cell:	
Contact Title:			Email:			
Owner was	☐ a private entity	☐ Califo	ornia State University	, [University	of Californ
If "No," then p	er hold your contract? [provide the contact info y Name:					
Contact	Person:		Tel:		Cell:	
Inspector of	Record:					
Address:			, ,		, ,	
	,	t Address)				(State & Z
Email:			Tel:		Cell:	
State the lice	al Office Information nse number and licens ame of your superinte	se classification(s) und		eted the work:		
Provide the n	person that you are pro ame of your project ma	anager assigned to th	ne work:			
	person that you are pro		· ·	Jniversity's pro	iject? ☐ Yes	or 🗌 No
	ame of your LEED AP	_	-	:		NI-
is this same p		posing to be the LEE	:ט AP on the Univers	ity's project? L	_ Yes or L	No
Provide the a	person that you are produced the difference that the dif	•				
Provide the a	•	nat directly managed t		(City)		(State & 2



6.	Project Dell	ivery Method: (CM NOT AT	KISK DOES NOT	JUALIFY.)			
	Project Deliv	very Method: Check one:	☐ CM at Risk☐ Other, specify	☐ Design-Bid-B	Build	☐ Design-Build	
7.	Schedule:	Original Start Da	te:		Actua	al Start Date:	
						pletion Date:	
		# of Time Extension			a. 00		
	Numb	per of calendar days extension			cumen	ts:	
		per of calendar days extensio					
		per of calendar days extensio					
		per of calendar days extension	_		ents:		
		per of calendar days extensio					
		☐ On a separate page, provide an explanation for each time extension due to prospective bidder or not					
	other	wise included above and at	tach it to this Prequ	alification Question	naire.	prospective bidder or not	
8.	Contract A	Original Co Ch				per of Change Orders:	
	Amou	int of Change Orders due to	conflicts in constr	uction documents:	:	\$	
	Amou	int of Change Orders due to	unforeseen condit	ions:		\$	
	Amou	int of Change Orders due to	design errors/omis	ssions:		\$	
	Amou	int of Change Orders due to	regulatory agency	requirements:		\$	
	Amou	int of Change Orders due to	owner initiated cha	anges:		\$	
9.a.	Project Des	scription:					
	(1) Dic	you complete the construction	on work acting as th	ne general contracto	r? 🗌 `	res or ☐ No	
		l you complete your construc	=	=			
	7 7	nstruction Type:					
		scribe your entire Scope-of-Voarate page if necessary.	Vork related to the F	Project for which you	u are a	oplying to prequalify. Attach a	

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 10 Traditional



9.b.	Constr	uction Experience: (Construction Experience Requirement 6.A.1.)
	(1) T	The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
		 ☐ Medium Voltage Switchgear Installation ☐ Providing Temporary Generators ☐ Installation of Medium Voltage Duct Bank ☐ Performed Medium Voltage Splicing
		Did the project involve construction work in an occupied facility <u>or</u> was adjacent to an occupied facility surrounded y continuous pedestrian and vehicular traffic during normal business hours? (Pass/Fail)
		☐ Yes, was in an occupied facility OR ☐ Yes, was adjacent to an occupied facility ☐ No
	С	"YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the onstruction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a eparate sheet if necessary.
10.	Staff Ra	ating – Construction: (Must score at least 35 points to pass.)
	(1)	The originally assigned project manager remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points) ☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
	(2)	The originally assigned superintendent remained assigned and involved with the project for the following duration: More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points)
	(3)	When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(4)	How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(5)	How many applications for payment did you submit? When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(6)	When were you required to submit updated redlined as-builts? How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 11 Traditional



		 (7) How would the entity that held your contract describe your overall performance and compliance with the contract requirements?
11.	Liq	uidated Damages and Claims:
	a.	Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount: \$
	b.	Were actual damages assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
	C.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
12.		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (1) Amount of initial claim (2) Resolution and amount of final claim (3) Source of claim (e.g., subcontractor, etc.) (4) Method of resolution. Did you file a/any claim(s) on the referenced project? Yes or No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire. Project Provided on the Project Data Sheet: Responsible Performance on the Project Provided on the Project Data Sheet: Responsible Section)
	-	
	a.	Have you listed any negative references for the Project above?
		YES NO NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO

END OF PROJECT DATA SHEET NO. 1

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 12 Traditional



PROJECT DATA SHEET NO. 2

Project Name	ə: 		
Location:	(Street Add	ress) ,	City) , (State & 2
Owner:			
Contact Name	e:	Tel:	Cell:
Contact Title:		Email:	
Owner was	a private entity	☐ California State University University:	☐ University of Californ
		r ☐ No for the entity that held your contract:	
Contact I	Person:	Tel:	Cell:
		Email:	
Inspector of	Record:		
Address:		,	,
	(Street Address)	,	City) , (State & Z
Email:		Tel:	Cell:
State the licer	al Office Information: use number and license classifi use of your superintendent ass	ication(s) under which you completed signed to the work:	the work:
Provide the na	ame of your project manager as		
-		o be the project manager on the Unive	ersity's project? Yes or No
	ame of your LEED AP assigned		
-	erson that you are proposing to ddress for the office that directly	o be the LEED AP on the University's y managed the work:	project? ∐ Yes or ∐ No
	(Street Address)	· · · · · · · · · · · · · · · · · · ·	City) , (State & 2



ь.	Project L	Delivery Method: (CM NOT AT RI	2V DOE2 N	OI QUALIFY.)		
	Project D	Delivery Method: Check one:	☐ CM at Ris ☐ Other, spe		d-Build	☐ Design-Build
7.	Schedul	e: Original Start Date	:		Actua	al Start Date:
						pletion Date:
		# of Time Extensions				
	Nι	umber of calendar days extension			documen	its:
	Nι	umber of calendar days extension of	due to unfor e	eseen conditions:		
	Nι	umber of calendar days extension of	due to desig i	n errors/omissions:		
	Nυ	umber of calendar days extension of	due to regula	atory agency require	ements:	
	Nι	umber of calendar days extension of	due to owne r	r initiated changes:		
		On a separate page, provide an e	explanation fc	or each time extensi	on due to	nrospective bidder or not
		herwise included above and atta				•
8.	Contract	t Amount:				
		Original Cont	ract Award	\$		
		<u> </u>		\$		per of Change Orders:
		Final Contra		\$		
	Λn	nount of Change Orders due to co	nflicts in co	nstruction documer	ıte:	\$
		nount of Change Orders due to un				
		nount of Change Orders due to de				\$ \$
		nount of Change Orders due to reg	•			\$
	Amount of Change Orders due to owner initiated changes:					<u> </u>
	7 (1)	mount of onlings of dots due to on	mor minute	· onangoo.		>
0 -	Duningt [Danawim tiam.				
9.a.	-	Description:		46	-40 🖂)	V □ N-
	` '	Did you complete the construction	· ·	ū		
	` '	Did you complete your constructio	n work acting	as the subcontractor	r≀∟ Yes	or ∐ No
		Construction Type:	rk rolotod to t	the Project for which	VOLL 650 5	national to proquelify. Attack a
		Describe your entire Scope-of-Wo separate page if necessary.	ik related to t	ine Project for which y	you are a	pplying to prequality. Attach a

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 14 Traditional



9.b. Construction Experience: (Construction Experience Requirement 6.A.1.)

	(1)	The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
		 ☐ Medium Voltage Switchgear Installation ☐ Providing Temporary Generators ☐ Installation of Medium Voltage Duct Bank ☐ Performed Medium Voltage Splicing
		Did the project involve construction work in an occupied facility <u>or</u> was adjacent to an occupied facility surrounded by continuous pedestrian and vehicular traffic during normal business hours? (Pass/Fail)
		☐ Yes, was in an occupied facility OR ☐ Yes, was adjacent to an occupied facility ☐ No
	(f "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary.
10.	Staff R	ating – Construction: (Must score at least 35 points to pass.)
	(1)	The originally assigned project manager remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points) ☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
	(2)	The originally assigned superintendent remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points) ☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
	(3)	When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(4)	How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(5)	How many applications for payment did you submit?
		When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(6)	When were you required to submit updated redlined as-builts?
		How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 15 Traditional



		 (7) How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
11.	Liq	uidated Damages and Claims:
	a.	Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount: \$
	b.	Were actual damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount: \$
	c.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
12.		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (1) Amount of initial claim (2) Resolution and amount of final claim (3) Source of claim (e.g., subcontractor, etc.) (4) Method of resolution. Did you file a/any claim(s) on the referenced project? Yes or No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.
	(Fa	ass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO NO

END OF PROJECT DATA SHEET NO. 2



PROJECT DATA SHEET NO. 3

Project Name	ə: 						
Location:	(Street Add	ress) ,	City) , (State & 2				
Owner:							
Contact Name	e:	Tel:	Cell:				
Contact Title:		Email:					
Owner was	a private entity	☐ California State University University:	☐ University of Californ				
		r ☐ No for the entity that held your contract:					
Contact I	Person:	Tel:	Cell:				
		Email:					
Inspector of	Record:						
Address:		,	,				
	(Street Address)	,	City) , (State & Z				
Email:		Tel:	Cell:				
Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Provide the name of your superintendent assigned to the work:							
Provide the na	ame of your project manager as						
Is this same person that you are proposing to be the project manager on the University's project? Yes or No Provide the name of your LEED AP assigned to the work:							
-	erson that you are proposing to ddress for the office that directly	o be the LEED AP on the University's y managed the work:	project? ∐ Yes or ∐ No				
	(Street Address)	· · · · · · · · · · · · · · · · · · ·	City) , (State & 2				



separate page if necessary.

Campus Electrical Substation Project Number: 950568 Contract Number: 950568-LF-2021-12

Project Delivery Method: (CM NOT AT RISK DOES NOT QUALIFY.) 6. Project Delivery Method: Check one: ☐ CM at Risk ☐ Design-Bid-Build ☐ Design-Build Other, specify: 7. Schedule: Original Start Date: Actual Start Date: Original Completion Date: Actual Completion Date: # of Time Extensions: Number of calendar days extension due to conflicts in construction documents: Number of calendar days extension due to unforeseen conditions: Number of calendar days extension due to design errors/omissions: Number of calendar days extension due to regulatory agency requirements: Number of calendar days extension due to owner initiated changes: On a separate page, provide an explanation for each time extension due to prospective bidder or not otherwise included above and attach it to this Prequalification Questionnaire. 8. **Contract Amount:** Original Contract Award \$ Change Orders \$ _____ Number of Change Orders: _____ Final Contract Amount \$ Amount of Change Orders due to conflicts in construction documents: Amount of Change Orders due to unforeseen conditions: Amount of Change Orders due to design errors/omissions: Amount of Change Orders due to regulatory agency requirements: Amount of Change Orders due to owner initiated changes: 9.a. **Project Description:** (5) Did you complete the construction work acting as the general contractor? ☐ Yes or ☐ No (6) Did you complete your construction work acting as the subcontractor? ☐ Yes or ☐ No (7) Construction Type: (8) Describe your entire Scope-of-Work related to the Project for which you are applying to pregualify. Attach a

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 18 Traditional



9.b. Construction Experience: (Construction Experience Requirement 6.A.1.) (3) The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.) ☐ Medium Voltage Switchgear Installation ☐ Installation of Medium Voltage Duct Bank ☐ Providing Temporary Generators ☐ Performed Medium Voltage Splicing (4) Did the project involve construction work in an occupied facility or was adjacent to an occupied facility surrounded by continuous pedestrian and vehicular traffic during normal business hours? (Pass/Fail) ☐ Yes, was in an occupied facility OR ☐ Yes, was adjacent to an occupied facility □ No If "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary. 10. Staff Rating – Construction: (Must score at least 35 points to pass.) (8) The originally assigned project manager remained assigned and involved with the project for the following duration: ☐ More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points) (9) The originally assigned superintendent remained assigned and involved with the project for the following duration: ☐ More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points) (10) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.? How many times did you submit your schedule update on time? ☐ More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (11) How many times did the Owner or Owner's Representative approve your schedule update? ☐ More than 90% of the time = More than satisfactory (10 points) ☐ 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (12) How many applications for payment did you submit? When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract? ☐ More than 90% of the time = More than satisfactory (10 points) \bigcap 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (13) When were you required to submit updated redlined as-builts? How many times did you submit your updated redlined as-builts on time in the form required by your contract? ☐ More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) Pregualification Questionnaire

UCR Rev 2013-11-20 19 Traditional



		 (14) How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
11.	Liq	uidated Damages and Claims:
	e.	Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount: \$
	f.	Were actual damages assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
	g.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
12.		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (5) Amount of initial claim (6) Resolution and amount of final claim (7) Source of claim (e.g., subcontractor, etc.) (8) Method of resolution. Did you file a/any claim(s) on the referenced project? Yes or No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire. Project Provided on the Project Data Sheet: Pass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO

END OF PROJECT DATA SHEET NO. 3

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 20 Traditional



PROJECT DATA SHEET NO. 4

Project Nam	ie:								
Location:		(Street Add	dress)	,	(City)		(State & 2		
Owner:									
Contact Nam	ne:			Tel:		Cell:			
Contact Title	: <u> </u>			Email:					
Owner was	a private er	ntity rate College or	☐ Califor	rnia State Universit	у	University	of Californ		
If "No," then		act information	for the entity t	hat held your contr					
Contact	Person:			Tel:		Cell:			
				Email:					
Inspector of	Record:								
Address:				,		,			
_		(Street Address	3)	,	, ,,		(State & Z		
Email:				Tel:		Cell: _			
Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Provide the name of your superintendent assigned to the work:									
Is this same person that you are proposing to be the superintendent on the University's project?									
Is this same person that you are proposing to be the project manager on the University's project? Yes or No									
	Provide the name of your LEED AP assigned to the work:								
Is this same				3 AD 4b - 1 b	city's project?	I I Voc or I	No		
	person that you address for the o				sity's project?				
	address for the o				(City)		(State & Z		



6.	Project Delivery	/ Method: (CM NOT AT	RISK DOES NOT Q	UALIFY.)		
	Project Delivery	Method: Check one:	☐ CM at Risk☐ Other, specify:	☐ Design-Bid-Bu	uild	☐ Design-Build
7.	Schedule:	Original Start D	ate:		Actua	al Start Date:
						oletion Date:
			ons:		-	
	Number o	f calendar days extensi			umen	ts:
	Number o	f calendar days extensi	on due to unforesee r	n conditions:		
	Number o	f calendar days extensi	on due to design err o	ors/omissions:		
	Number o	f calendar days extensi	on due to regulatory	agency requireme	nts:	
	Number o	f calendar days extensi	on due to owner initi	ated changes:		
	□ On a s	eparate page, provide a	an explanation for each	ch time extension o	due to	prospective bidder or not
		e included above and a				p representation
8.	Contract Amou	Original C C			Numb	er of Change Orders:
	Amount of	f Change Orders due to	conflicts in constru	ction documents:		\$
	Amount of	f Change Orders due to	unforeseen conditie	ons:		\$
	Amount of	f Change Orders due to	sions:		\$	
	Amount of Change Orders due to regulatory agency requirements:					\$
	Amount o	f Change Orders due to	owner initiated cha	nges:		\$
9.a.	Project Descrip	tion:				
	(9) Did you	complete the construct	tion work acting as the	e general contractor	? 🗌 Y	∕es or ☐ No
	(10) Did you	complete your constru	ction work acting as tl	ne subcontractor?] Yes	or 🗌 No
	(11) Constru	uction Type:				
	, ,	e your entire Scope-of- e page if necessary.	Work related to the P	roject for which you	are ap	oplying to prequalify. Attach a

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 22 Traditional



9.b. Construction Experience: (Construction Experience Requirement 6.A.1.) (5) The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.) ☐ Medium Voltage Switchgear Installation ☐ Installation of Medium Voltage Duct Bank ☐ Providing Temporary Generators ☐ Performed Medium Voltage Splicing (6) Did the project involve construction work in an occupied facility or was adjacent to an occupied facility surrounded by continuous pedestrian and vehicular traffic during normal business hours? (Pass/Fail) ☐ Yes, was in an occupied facility OR ☐ Yes, was adjacent to an occupied facility □ No If "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary. 10. Staff Rating – Construction: (Must score at least 35 points to pass.) (15) The originally assigned project manager remained assigned and involved with the project for the following duration: ☐ More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points) (16) The originally assigned superintendent remained assigned and involved with the project for the following duration: ☐ More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points) (17) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.? How many times did you submit your schedule update on time? ☐ More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (18) How many times did the Owner or Owner's Representative approve your schedule update? ☐ More than 90% of the time = More than satisfactory (10 points) ☐ 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (19) How many applications for payment did you submit? When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract? ☐ More than 90% of the time = More than satisfactory (10 points) \bigcap 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points) (20) When were you required to submit updated redlined as-builts? How many times did you submit your updated redlined as-builts on time in the form required by your contract? ☐ More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 23 Traditional



		(21) How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
11.	Liq	uidated Damages and Claims:
	i.	Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount: \$
	j.	Were actual damages assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
	k.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (9) Amount of initial claim (10) Resolution and amount of final claim (11) Source of claim (e.g., subcontractor, etc.) (12) Method of resolution.
	I.	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.
12.		esponsible Performance on the Project Provided on the Project Data Sheet: ass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO NO

END OF PROJECT DATA SHEET NO. 4

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 24 Traditional



7. RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Each "NO" is worth 10 points. Must score 70 or more points to pass. At its sole discretion, the University may award 5 points due to mitigating circumstances.

If you answer "YES" to any question, provide an explanation on a separate page and attach it to this Prequalification Questionnaire.

A.		ovided any inaccurate or incorrect information required during prequalification, idding, or required by contract documents?					
	YES 🗌	NO 🗌					
B.	Is bidder curre	ntly debarred from work by a public entity in California?					
	YES 🗌	NO If "YES," provide name of public entity:					
C.	Has bidder per	formed any work without the required contractor's license?					
	YES 🗌	NO 🗆					
D.	Is bidder curre	ntly the debtor in a bankruptcy case?					
	YES 🗌	NO 🗌					
E.	Has bidder used unlicensed or improperly licensed subcontractors?						
	YES 🗌	NO 🗆					
F.	Has bidder substituted a subcontractor without an owner's written consent?						
	YES 🗌	NO 🗆					
G.	Has bidder fail	ed to adhere to contractually required and agreed-upon schedules?					
	YES 🗌	NO 🗆					
H.	Has bidder ever for projects?	er been convicted of a criminal offense in connection with current or past contracts					
	YES 🗌	NO 🗆					
I.	defective mate with the contr	ave a history of poor past performance of work as evidenced by continued use of rials, unauthorized product substitutions, refusal to correct work not in accordance ract documents, termination for cause, or repeated failure to provide proper quired by the contract documents?					
	YES 🗌	NO 🗆					
J.		ntity ever had to issue bidder a unilateral change order because bidder refused to nge order and perform the change order work?					
	YES 🗌	NO 🗌					

UCR Rev 2013-11-20 25 Traditional



8. STAFFING (Pass/Fail Section)

A.	The University requires the prospective bidder to assign a full-time superintendent to the Project.							
	Name of Superintendent:	Tel:						
	Email:	Cell:						
		sume that demonstrates that the above named tantially similar to that specified in Section 6.A. of						
	above named individual is subject to the replacement by you at University's so Bidding periods for this Project, and if	ion of this Questionnaire, you agree that the he University's approval, and is subject to ble request during the Prequalification and awarded the Contract for the Project, after Jniversity cannot be replaced by you without						
В.	The University requires the prospective bidde Project.	r to assign a <u>full-time</u> project manager to the						
	Name of Project Manager:	Tel:						
	Email:	Cell:						
		esume that demonstrate that the above named tantially similar to that specified in Section 6.A. of						
	above named individual is subject to the replacement by you at University's so Bidding periods for this Project, and if	ion of this Questionnaire, you agree that the he University's approval, and is subject to ble request during the Prequalification and awarded the Contract for the Project, after Jniversity cannot be replaced by you without						
C.	The individual(s) named above must be assigned by Contractor. Provide the address of your office.	d to the office that will be assigned to the Project e to be assigned to this Project:						
	(Street A	ddress)						
	(City)	(State & Zip)						
	The above office must be within 90 miles of the University Avenue, Suite 240, Riverside, CA 929							

UC Rev 2, June 30, 2008 Prequalification Questionnaire

Any change in the office assigned must be approved by the University and cannot be replaced without University's written consent.

UCR Rev 2013-11-20 26 Traditional



10.

Campus Electrical Substation Project Number: 950568 Contract Number: 950568-LF-2021-12

9. SAFETY PROGRAM (Pass/Fail Section)

A.	Has bidder instituted an injury and illness prevention program (IIPP) pursuant to Section 3201.5 or 6401.7 of the Labor Code?
	YES NO NO
	If "YES," then ☐ attach a copy of the Table of Contents from bidder's IIPP.
B.	Will bidder have personnel permanently assigned and dedicated to Safety on this Project? May be the listed Superintendent or Project Manager.
	YES NO NO
	If "YES", provide the name(s) and title(s) of the person(s):
	Name and Title:
	If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.
C.	Has bidder had a serious and willful violation of Part 1 (commencing with Section 6300) of Division 5 of the Labor Code within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	YES NO NO
D.	Has bidder maintained a Workers' Compensation Experience Modification Rate (EMR) that averages below 1.15 for the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire? (If bidder has been in business for less than five years, then bidder must have maintained a Workers' Compensation EMR that averages below 1.15 for all years that bidder has been in business.)
	YES NO NO
	Year: EMR: Year: EMR: Year: EMR: Year: EMR: Year: EMR:
	☐ Attach verification of EMR from State of California or from bidder's insurance company.
LABO	R COMPLIANCE (Pass/Fail Section)
A.	Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	YES NO NO
	*Does not include a violation determined to be due to inadvertent or unintentional error by the California Department of Industrial Relations. If a violation due to inadvertent or unintentional error, then on a separate page attached to this Prequalification Questionnaire, identify the violation by providing the project name, date of the violation, name of the entity (or entities), a brief description of the nature of the violation, and a brief description of the status of the violation

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 27 Traditional



(e.g., pending, or if resolved, a brief description of the resolution, etc.) for the University's verification.

	B.	Prequalification	nin the last five (5) calendar years preceding the deadline for submission of the Questionnaire, has the prospective bidder been found to have violated an alifornia apprenticeship laws or regulations, or the laws pertaining to use opublic works?	У
		YES 🗌	NO 🗆	
11.	QUALI	TY ASSURANC	E/QUALITY CONTROL (QA/QC) PROGRAM (Pass/Fail Section)	
	A.	Does bidder ha	ve a written quality assurance/quality control program?	
		YES 🗌	NO 🗆	
		If "YES," ☐ att	ach a copy of bidder's QA/QC program.	
	B.		e personnel permanently assigned and dedicated to QA/QC on this Project? ded Superintendent or Project Manager.	
		YES 🗌	NO 🗌	
		If "YES", provid	e the name(s) and title(s) of the person(s):	
		Name and	itle:	
			include additional information on a separate page and attach it to thi Questionnaire.	s
12.	DISCIP	LINARY MEAS	JRES HISTORY (Pass/Fail Section)	
	barred f System	rom doing busir , California Sta	nber of the entity if a joint venture or partnership) been disqualified or otherwise ess with a public agency (e.g., federal, state, county, city, University of California University System, school district,) within the last five (5) calendar year or submission of the Prequalification Questionnaire?	а
	YES [] NO 🗆		
13.	FALSE	CLAIMS HISTO	ORY (Pass/Fail Section)	
	a court Califorr	to have submitt nia System, Cali	aber of the entity if a joint venture or partnership) been found in a final decision of the data and a public agency (e.g., federal, state, county, city, University cornia State University System,) within the last five (5) calendar years preceding sion of the Prequalification Questionnaire?	of
	YES [] NO 🗆		
14.	TERMI	NATION HISTO	RY (Pass/Fail Section)	
	owner	after constructio	aber of the entity if a joint venture or partnership) been terminated for cause by an commenced within the last five (5) calendar years preceding the deadline for allification Questionnaire?	
	YES [] NO 🗆		
UC Rev	2, June 30	, 2008	Prequalification Questionnaire	_

UCR Rev 2013-11-20 28 Traditional



15. LIQUIDATED DAMAGES (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES 🗌	NO 🗌
-------	------

16. CLAIMS HISTORY (Points Section)

Each prospective bidder will be evaluated to determine if the bidder and/or persons or entities associated with the bidder have a history of having unmeritious claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration.

In order to be evaluated, each prospective bidder must complete the Claims History portion of this Prequalification Questionnaire. Based on the information provided, each prospective bidder will be assigned a Claims History score. The maximum possible score is 15. Must score 10 or more points to pass this Section.

Two (2) points will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted by or on their behalf that were resolved by trial court judgment, arbitration award or settlement calling for receipt of less than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Additionally, **two (2) points** will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted against them, that were resolved by trial court judgment, arbitration award or settlement calling for receipt of more than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Any prospective bidder with a **score deduction of 6 or more points** will presumptively be considered not prequalified because the prospective bidder and/or persons or entities associated with the bidder have been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

The presumption may be rebutted if the University determines, after investigating any explanation offered in providing the Claims History, that the prospective bidder and/or persons or entities associated with the bidder have not been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

If the presumption is not rebutted, the prospective bidder will be deemed to have an unacceptable Claims History, and will not be prequalifed for the Project that is the subject of this prequalification process.

As used herein:

"Lawsuit" means any lawsuit commenced within five (5) calendar years preceding the deadline for submission of the Pregualification Questionnaire.

"Arbitration" means any binding arbitration commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 29 Traditional



"Claim" means a claim (excluding claims solely for the enforcement of stop notices) arising from design and/or construction work and includes, without limitation, claims for extra compensation and damages (including delay, disruption and acceleration damages, but excluding claims for personal injury or death), and claims for defective design or construction work.

"Pass-Through Claim" has the meaning commonly ascribed to it in the construction industry and also includes (i) any claim that was or is asserted by the prospective bidder, in whole or in part, against an Owner on behalf of a different person or entity; and (ii) any claim that was or is asserted by an Owner against the prospective bidder, and that was subsequently reasserted, in whole or in part, against a different person or entity.

A.	Has bidder failed to be prequalified, in part or in whole, within the last five (5) calendar y preceding the deadline for submission of the Prequalification Questionnaire, for failure to prequested information regarding past litigation or arbitration history?			
	YES 🗌	NO [(5 Points)		
B.	Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims) asserted by or on behalf of the entity exceeded \$50,000?			
	YES 🗌	NO ☐ (5 Points)		
	If "YES," then h	now many?		
		n claim, complete a Claim Data Sheet and attach it to this Prequalification Make Copies of the Claim Data Sheet as needed.		
C.	Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims, and claims for indemnity or contribution) against the entity exceeded \$50,000?			
	YES 🗌	NO ☐ (5 Points)		
	If "YES," then h	now many?		
		n claim, complete a Claim Data Sheet and attach it to this Prequalification Make Copies of the Claim Data Sheet as needed.		



CLAIM DATA SHEET No. __

A separate Claim Data Sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against the prospective bidder and/or persons or entities associated with the prospective bidder.

1.	Case Name and Number:					
	Date Arbitration or Litigation Commenced: Name of Court or Arbitration Service: Location of Court or Arbitration Service:					
	(Street Address)	(City)	(State & Zip)			
2.	Project Name:					
	Project or Contract Number:					
	Location:	,	,			
	(Street Address)	(City)	(State & Zip)			
3.	Owner:					
	Contact Name:	Tel:	Cell:			
	Contact Title:	Email:				
	Did the Owner hold your contract? ☐ Yes or ☐ I	No				
	If "No," then provide the contact information for the Company Name:					
	Contact Person:	Tel:	Cell:			
		Email:				
4.	Description of Claims: (Attach a separate page	if necessary.)				
	Highest Amount Sought For All Claims: \$					
	Amount Recovered: \$	<u></u>				
	Date of Claim Resolution:					
	Method of Resolution: (Check one.)	_				
	☐ Judgment ☐ Arbitration Award	☐ Settlement				
	Other, describe:					



PREQUALIFICATION DECLARATION

I,	, hereby declare that I am the				
-	(Printed Name)				
of					
(Title)	(Name of Bidder)				
$submitting\ this\ Prequalification\ Question naire;\ that\ I\ am\ duly\ authorized\ to\ sign\ this\ Prequalification\ Question naire$					
on behalf of the above named bidder; and that all information set forth in this Prequalification Questionnaire and					
all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date.					
I declare, under penalty of perjury, that the foregoing is true and correct and that this Declaration was					
executed in:					
	, in the State of				
(Name of City if within a City, otherwise Name of C	·				
on					
(Date)					
	(Signature)				

END OF PREQUALIFICATION QUESTIONNAIRE

UC Rev 2, June 30, 2008 Prequalification Questionnaire

UCR Rev 2013-11-20 32 Traditional