ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION

Subject to conditions prescribed by the University of California, Riverside, responses to the University's Prequalification Documents are invited from prospective bidders to prequalify to bid for a lump sum contract for the following Project:

PHP CENTRAL PLANT DEFERED MAINTENANCE
PROJECT NO. 950557
CONTRACT NO. 950557-LF-2017-67
UNIVERSITY OF CALIFORNIA, RIVERSIDE
RIVERSIDE, CALIFORNIA

Project Description: The work consists of replacing Chiller #2 with a new 1250 Ton chiller, and the related piping connections as required to accommodate the new equipment. The project includes the addition/alteration of piping, and related accessories, inside the Chiller Plant, that will enable the Plant to bypass the campus chilled water loop and directly connect to TES #1. The project will also include integration of the new equipment and accessories into existing controls.

Estimated construction cost: $1,400,000.00

Prequalification Documents will be available at 2:00 P.M., on October 28, 2016, and will be issued only at:

IB Reprographics
3363 Durahart Street
Riverside, CA 92507

Phone: (951) 682-1850
Fax: (951) 682-2315
www.ibrepro.com

Prequalification Documents will be available at no charge.

PREQUALIFICATION CONFERENCE

Prospective bidders must attend a mandatory Prequalification Conference at 10:00 A.M., on November 15, 2016, at:

UCR Capital Programs
1223 University Avenue, Suite 240
Riverside, CA 92521

(Located in University Village, corner of University Avenue and Iowa Avenue.)

Phone: (951) 827-1269       Fax: (951) 827-4556

Any prospective bidder who enters the Conference after 10:10 A.M. will be precluded from prequalifying as a prime contractor and may only bid as a subcontractor.

UCR Capital Programs will provide parking validation to anyone attending the Prequalification Conference. We suggest that you arrive early to allow time to park and walk to the Conference location.

PREQUALIFICATION DEADLINE

The University has determined that bidders who submit bids for this Project must be prequalified. Prequalified bidders will be required to have the California contractor's license stated below.
A. General Engineering with a C-20, Warm-Air Heating or B. General Contractor with a C-20, Warm-Air Heating.

Completed Prequalification Questionnaires and associated documents must be received on or before **11:00 AM**, on **November 22, 2016**, and will be received only at:

UCR Capital Programs  
1223 University Avenue, Suite 240  
Riverside, CA 92521

Any person or entity not satisfied with the outcome of the Prequalification must file a written challenge to the outcome within 5 calendar days from the date of the University’s written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest. However, the University reserves the right to request, receive, and evaluate supplemental information after the above time and date at its sole determination.

The University reserves the right to reject any or all responses to Prequalification Questionnaires and to waive non-material irregularities in any response received.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

**BID DEADLINE**

The availability of Bidding Documents, the date and time of the Pre-Bid Conference and Site Visit, and the date and time of the Bid Deadline and the location for receipt and opening of sealed bids will be announced, along with the names of the prequalified bidders, in a separate public Advertisement for Bids from Prequalified Contractors within approximately 4 weeks after the conclusion of the prequalification process for this Project. Immediately following the Bid Deadline, bids will be opened at the location identified in the Advertisement for Bids from Prequalified Contractors.

Bid Security in the amount of 10% of the Lump Sum Base Bid shall accompany each Bid. The Surety issuing the Bid Bond shall be, on the Bid Deadline, an admitted surety insurer (as defined in California Code of Civil Procedure Section 995.120).

The successful Bidder and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding and Contract Documents and to pay prevailing wage rates at the location of the Work.

Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law or University policy. Each Bidder may be required to show evidence of its equal employment opportunity policy. The successful Bidder and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding Documents and to pay prevailing wage at the location of the work.

The successful Bidder must have the following State of California Contractor’s license current and active at the time of submission of the Bid: **(A) General Engineering Contractor with a C20 – Warm Air Heating, Ventilating and Air-Conditioning Contractor or (B) General Building Contractor with a C20 – Warm Air Heating, Ventilating and Air Conditioning Contractor.**

The work described in the contract is a public work subject to section 1771 of the California Labor Code.

No contractor or subcontractor, regardless of tier, may be listed on a Bid for, or engage in the performance of, any portion of this project, unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 and 1771.1.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
University of California, Riverside  
PREQUALIFICATION QUESTIONNAIRE
October 28, 2016
For
PHP CENTRAL PLANT DEFERRED MAINTENANCE
PROJECT NO. 950557
CONTRACT NO. 950557-LF-2017-67
UNIVERSITY OF CALIFORNIA, RIVERSIDE

SUBMITTED BY:

(Bidder Name. If a Joint Venture, state name of JV Entity)

Type of Organization:  
☐ Sole Proprietor/Individual  ☐ Partnership
☐ Joint Venture
☐ Corporation

(State of Incorporation)

(Contact Name & Title)

(Street Address)

(City, State, Zip Code)

(Telephone Number)  (Facsimile Number)

(E-mail)

Each prospective bidder must answer all of the following questions and provide all requested information. Any prospective bidder failing to do so will be deemed not responsive and not prequalified with respect to this Prequalification at the University’s sole discretion. All bidders that submit a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status.

Prospective bidders that correctly respond to all questions that require a specific “YES” or “NO” response to prequalify per the Prequalification Questionnaire Evaluation form, submit all required information and supporting data, obtain the total requisite number of points per response as required AND are determined to have accurately and truthfully responded to the questions will be prequalified. Only those bidders that have been determined to be prequalified will be eligible to submit a bid for the Project.

If a prospective bidder is determined by the University not to be prequalified, the prospective bidder may request a review by the University of California, Riverside. If any person or entity is not satisfied with the outcome of the prequalification, such person or entity may file an objection with the University of California, Riverside. Any such a request or objection must be submitted in writing and received by the University of California, Riverside, at the address for receipt of Prequalification Questionnaires listed on the Advertisement for Contractor Prequalification and for Bids no later than 5 calendar days after the date of the University’s written notice regarding prequalification determination. The decision resulting from such review is final and is not appealable within the University of California. Any assertion that the outcome of the prequalification process was improper will not be grounds for a bid protest.

All information submitted for prequalification evaluation in response to Sections 3 and 16 and marked as “confidential” will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information...
marked “confidential” by the provisions of the California Public Records Act, the University will notify the prospective bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective bidder may seek a restraining order in advance of such disclosure. The University shall err on the side of transparency and will generally treat information provided by the prospective bidder that is not marked “confidential” as subject to disclosure pursuant to the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

All other information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

As used herein, the terms “prospective bidder” or “bidder” or “contractor” or “entity” mean the same. The terms “prospective bidder” or “bidder” or “contractor” or “entity” mean all entities and individuals who are intended to work as a part of, for, or under the prospective bidder for the Project that is the subject of this prequalification process and includes, without limitation, such entities or individuals who are prime contractors, and if a joint venture, all members of the joint venture. Whenever an individual or entity is referred to, the reference includes the individual or entity and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, predecessors and successors in interest of or to the individual or entity. For instance, a reference to a bidder includes the prospective bidder and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, insurers, predecessor businesses and successor businesses of the bidder.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS. Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted.

SUBMIT ONE (1) ORIGINAL AND ONE (1) ELECTRONIC COPY ON A FLASHDRIVE NO LATER THAN THE DEADLINE IN THE ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION AND FOR BIDS.
(1) SURVEY (Information Only)

How did you hear about the prequalification for this Project?

- [ ] Press Enterprise  - [ ] UCR Website
- [ ] McGraw Hill (“Greensheet”)  - [ ] Other: ____________________________
- [ ] Reed

(2) LICENSE(S) (Pass/Fail Section)

A. Do you have the following State of California contractor’s license current and active with the California Contractor’s State License Board?

License Classification & Code: (A) General Engineering Contractor with a C20 – Warm Air Heating, Ventilating and Air-Conditioning Contractor or (B) General Building Contractor with a C20 – Warm Air Heating, Ventilating and Air Conditioning Contractor.

YES [ ] NO [ ]

(NOTE - The prospective bidder submitting this Prequalification Questionnaire must be the holder of the requisite license. If the prospective bidder is a Joint Venture, the Joint Venture must hold the license and satisfy all of the requirements in this Prequalification Questionnaire as the joint venture. This means that the joint venture must have completed the projects that it cites as references in Section 6 while the joint venture.)

B. If “YES,” provide the following information about the contractor’s license:

(1) Name of license holder exactly as on file with the California Contractor’s State License Board:

_____________________________________________________________________

(2) License Number: ______________________________

(3) Issue Date: ______________ (4) Expiration Date: ______________

C. Has bidder’s contractor’s license been suspended or revoked by the California Contractor’s State License Board within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES [ ] NO [ ]

(3) Not Used.
(4) **SURETY (Pass/Fail Section)**

Prospective bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

A. Is the surety to be used authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120)?

   YES ☐  NO ☐

B. Is the prospective bidder able to obtain **bonding up to $1,400,000.00** for this Project?

   YES ☐  NO ☐

**Bond Capacity:**

   (1) Provide your maximum bonding limit per project: ______________________

   (2) Provide your aggregate bonding limit: ______________________

   (3) Provide your available bonding capacity: ______________________

C. Has any surety paid out any monies on claims on the **payment bond** issued by a surety for the benefit of the owner arising out of the construction activities of the bidder within the last five (5) years from the date of the Prequalification Deadline?

   YES ☐  NO ☐

D. Has any surety paid out any monies on claims on the **performance bond** issued by a surety for the benefit of an owner arising out of the construction activities of the bidder within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

   YES ☐  NO ☐
E. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

(If bidder has more than one surety, submit a completed form for each.)

The undersigned declares under penalty of perjury that the bonding information indicated above on this Prequalification Questionnaire for ____________________________ (Name of Prospective Bidder) is true and correct and that this Declaration was executed in ____________________________ , in the State of ____________________________ , on ____________________________ .

______________________________
(Signature)

______________________________
(Name and Title - Printed or Typed)

______________________________
(Representing [Surety Name])

______________________________
(Entity Name if Different than Surety Name)

______________________________
(Street Address)

______________________________
(City, State, Zip Code)

______________________________
(Telephone Number) ____________________________
(Facsimile Number)

______________________________
(E-mail)

(ATTACH ORIGINAL NOTARIZATION of SURETY REPRESENTATIVE’S SIGNATURE)
(5) **INSURER (Pass/Fail Section)**

Prospective bidder shall obtain and submit the Insurance Declaration in the form shown below, or submit a sample certificate of insurance form from its insurer, or submit a letter that declares the same as the Insurance Declaration, signed by an authorized representative of its insurer on the representative’s or insurer’s letterhead. (If more than one insurer or insurance representative, submit a completed form or sample certificate of insurance form or letter for each.)

A. Is the bidder able to obtain insurance in the following limits for the required coverages?

<table>
<thead>
<tr>
<th>Insurance Type</th>
<th>Minimum Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Commercial Form General Liability Insurance</strong></td>
<td></td>
</tr>
<tr>
<td>Each Occurrence - Combined Single Limit for Bodily Injury and Property Damage</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Products - Completed Operations Aggregate</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Personal and Advertising Injury</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>General Aggregate</td>
<td>$2,000,000</td>
</tr>
<tr>
<td><strong>Business Automobile Liability Insurance</strong></td>
<td></td>
</tr>
<tr>
<td>Each Accident - Combined Single Limit for Bodily Injury and Property Damage</td>
<td>$1,000,000</td>
</tr>
<tr>
<td><strong>Workers’ Compensation and Employer’s Liability</strong></td>
<td></td>
</tr>
<tr>
<td>Employer’s Liability:</td>
<td></td>
</tr>
<tr>
<td>Each Employee</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Each Accident</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Each Policy</td>
<td>$1,000,000</td>
</tr>
</tbody>
</table>

*This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than $100,000.

**This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.
B. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION AND HAVE YOUR CARRIER RETURN THE COMPLETED DECLARATION TO YOU. THE PROSPECTIVE CONTRACTOR MUST SUBMIT THIS DECLARATION TO UNIVERSITY. DO NOT HAVE YOUR CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that the below named insurer is currently willing to provide the insurance listed above in Section 5.A. of this Prequalification Questionnaire for ________________________________ (Name of Prospective Bidder) and that this Declaration was executed in ________________________________, in the State of ________________________________,

______________________________, in the State of ________________________________,

on ________________________________ .

______________________________ (Signature)

______________________________ (Name & Title)

______________________________ (Insurer Name)

______________________________ (Street Address)

______________________________ (City, State & Zip Code)

______________________________ (Telephone Number) ________________________________ (Facsimile Number)

______________________________ (Mobile Number) ________________________________ (Email)
(6) CONSTRUCTION EXPERIENCE (Pass/Fail Section)

A. Does the prospective bidder have the construction experience required below?

   YES ☐      NO ☐

   1. Has completed, on or after January 1, 2006, at least two (2) utility/infrastructure project at a central plant (private or public) in the United States of America as a prime or subcontractor:
      a. That involved chillers or cooling tower work as required in the Project Data Sheets;
      b. That involved installation of at least one 500-ton chiller or larger as required in the Project Data Sheets;
      c. With an initial contract (prime or subcontract) award of $500,000.00 or more;
      d. That satisfies the Superintendent requirements in the Project Data Sheets; and
      e. That satisfies the Responsible Performance requirements in the Project Data Sheets.

      AND

   2. Has completed, on or after January 1, 2006, at least one (1) Public Works project at a College or University (two-year or four-year) in California as a prime or subcontractor:
      a. With an initial contract (prime or subcontract) award of $500,000.00 or more;
      b. That satisfies the Superintendent requirements in the Project Data Sheets; and
      c. That satisfies the Responsible Performance requirements in the Project Data Sheets.

Complete and submit the attached Project Data Sheets as evidence that your construction experience satisfies the above requirements. A PROJECT MAY BE USED TO SATISFY MORE THAN ONE REQUIREMENT.

The term “completed” as used above in this Section means that (1) all work has been completed per your contract and (2) the improvement/facility has been approved and/or accepted (i.e., passed final inspection) by the owner.

NOTE THAT PROJECT REFERENCES WILL BE CONTACTED FOR VERIFICATION OF THE INFORMATION REPORTED ON THE PROJECT DATA SHEETS. IN CASE OF CONFLICT BETWEEN THE INFORMATION REPORTED BY THE PROSPECTIVE BIDDER AND THE INFORMATION PROVIDED BY THE REFERENCE, THE INFORMATION PROVIDED BY REFERENCE SHALL TAKE PRECEDENCE AND AMBIGUITIES SHALL BE RESOLVED AGAINST THE PROSPECTIVE BIDDER.

B. A bidder wishing to use a predecessor business to satisfy prequalification requirements must demonstrate with written information submitted with this Prequalification Questionnaire that it is substantially the same organization (in terms of who is managing the prospective bidder) as the predecessor business. A bidder may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor’s License Law) for:
   (1) Contractor’s license of contractor which shall be the same type as the license required for the contract; and
   (2) Contractor’s license of predecessor business which shall also be the same type as the license required for the contract.
PROJECT DATA SHEET NO. 1

1. Project Name: ____________________________________________________________
   Location: __________________________________________________________________
               (Street Address)    ,    (City)    ,    (State & Zip)

2. Owner:
   Contact Name: ____________________________________________ Tel: ____________ Cell: ____________
   Contact Title: ____________________________________________ Email: ____________
   Owner was ☐ a private entity ☐ California State University ☐ University of California
   ☐ 4-Year Private College or University: ____________________________________________
   ☐ Other public entity: __________________________________________________________
   Did the Owner hold your contract? ☐ Yes or ☐ No
   If “No,” then provide the contact information for the entity that held your contract:
   Company Name: ____________________________________________
   Contact Person: ____________________________________________ Tel: ____________ Cell: ____________
   Email: ____________

3. Design Professional of Record:
   Address: __________________________________________________________________
               (Street Address)    ,    (City)    ,    (State & Zip)
   Email: __________________________________________________________________

4. Inspector of Record:
   Address: __________________________________________________________________
               (Street Address)    ,    (City)    ,    (State & Zip)
   Email: __________________________________________________________________

5. Your Regional Office Information:
   State the license number and license classification(s) under which you completed the work: ____________
   Provide the name of your superintendent assigned to the work: __________________________
   Is this same person that you are proposing to be the superintendent on the University’s project? ☐ Yes or ☐ No
   Provide the name of your project manager assigned to the work: __________________________
   Is this same person that you are proposing to be the project manager on the University’s project? ☐ Yes or ☐ No
   Provide the name of your LEED AP assigned to the work: __________________________
   Is this same person that you are proposing to be the LEED AP on the University’s project? ☐ Yes or ☐ No
   Provide the address for the office that directly managed the work:
               (Street Address)    ,    (City)    ,    (State & Zip)
6. **Project Delivery Method:** (CM NOT AT RISK DOES NOT QUALIFY.)

   Project Delivery Method: Check one:  
   [ ] CM at Risk  [ ] Design-Bid-Build  [ ] Design-Build  
   [ ] Other, specify:  

7. **Schedule:**

   Original Start Date: ___________________________  Actual Start Date: ________________________
   Original Completion Date: ______________________  Actual Completion Date: ____________________
   # of Time Extensions: ___________________________

   Number of calendar days extension due to **conflicts in construction documents:** ______________________
   Number of calendar days extension due to **unforeseen conditions:** ______________________
   Number of calendar days extension due to **design errors/omissions:** ______________________
   Number of calendar days extension due to **regulatory agency requirements:** ______________________
   Number of calendar days extension due to **owner initiated changes:** ______________________

   [ ] On a separate page, provide an explanation for each time extension due to prospective bidder or not otherwise included above and attach it to this Prequalification Questionnaire.

8. **Contract Amount:**

   Original Contract Award $ _____________  Number of Change Orders: _____________
   Change Orders $ _____________  Final Contract Amount $ _____________
   
   Amount of Change Orders due to **conflicts in construction documents:** $ _____________
   Amount of Change Orders due to **unforeseen conditions:** $ _____________
   Amount of Change Orders due to **design errors/omissions:** $ _____________
   Amount of Change Orders due to **regulatory agency requirements:** $ _____________
   Amount of Change Orders due to **owner initiated changes:** $ _____________

9.a. **Project Description:**

   (1) Did you complete the construction work acting as the general contractor?  [ ] Yes or  [ ] No
   (2) Did you complete your construction work acting as the subcontractor?  [ ] Yes or  [ ] No
   (3) Construction Type:  
   (4) Describe your entire Scope-of-Work related to the Project for which you are applying to prequalify. Attach a separate page if necessary.
9.b. **Construction Experience**: (Construction Experience Requirement 6.A.1.)

(1) **The project involved**: (Check all that apply. Each is worth 10 Points. 10 Points required to pass.)

- [ ] Chillers
- [ ] Cooling Tower

(2) **The size of the chiller installed**: (Pass/Fail)

______ tons

10. **Staff Rating – Construction**: (Must score at least 35 points to pass.)

(1) The originally assigned project manager remained assigned and involved with the project for the following duration:

- [ ] More than 95% of the construction contract time (10 points)
- [ ] 75% - 95% of the construction contract time (5 points)
- [ ] Less than 75% of the construction contract time (0 points)

(2) The originally assigned superintendent remained assigned and involved with the project for the following duration:

- [ ] More than 95% of the construction contract time (10 points)
- [ ] 75% - 95% of the construction contract time (5 points)
- [ ] Less than 75% of the construction contract time (0 points)

(3) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?

How many times did you submit your schedule update on time?

- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(4) How many times did the Owner or Owner’s Representative approve your schedule update?

- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(5) How many applications for payment did you submit?

How many times did you submit your applications for payment on time in the form required by your contract?

- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(6) When were you required to submit updated redlined as-builts?

How many times did you submit your updated redlined as-builts on time in the form required by your contract?

- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(7) How would the entity that held your contract describe your overall performance and compliance with the contract requirements?

- [ ] More than satisfactory (10 points)
- [ ] Satisfactory (5 points)
- [ ] Needs improvement (0 points)
11. **Liquidated Damages and Claims:**
   a. Were liquidated damages assessed against you? ☐ Yes or ☐ No  
      If “Yes,” then state amount: $ __________
   b. Were actual damages assessed against you? ☐ Yes or ☐ No  
      If “Yes,” then state amount: $ __________
   c. Were back charges assessed against you? ☐ Yes or ☐ No  
      If “Yes,” then state amount: $ __________

   If you answered “Yes” to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire:
   1. Amount of initial claim
   2. Resolution and amount of final claim
   3. Source of claim (e.g., subcontractor, etc.)

   d. Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No
   If “Yes,” complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.

12. **Responsible Performance on the Project Provided on the Project Data Sheet:**
    (Pass/Fail Section)
   a. Have you listed any negative references for the Project above?
      YES ☐ NO ☐
   b. Have you provided any information that would conflict with a reference verification check?
      YES ☐ NO ☐
   c. Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
      YES ☐ NO ☐

   **END OF PROJECT DATA SHEET NO. 1**
PROJECT DATA SHEET NO. 2

1. Project Name: ____________________________________________________________

   Location: ________________________________________________________________
   (Street Address)       '       (City)       '       (State & Zip)

2. Owner:

   Contact Name: __________________________________ Tel: ___________________ Cell: __________
   Contact Title: __________________________________ Email: __________________

   Owner was  ☐ a private entity  ☐ California State University  ☐ University of California
   ☐ 4-Year Private College or University: ____________________________________________
   ☐ Other public entity: __________________________________________________________

   Did the Owner hold your contract? ☐ Yes or ☐ No

   If “No,” then provide the contact information for the entity that held your contract:
   Company Name: ______________________________________________________________
   Contact Person: __________________________ Tel: ___________________ Cell: __________
   Email: ______________________________

3. Design Professional of Record:

   Address: ________________________________________________________________
   (Street Address)       '       (City)       '       (State & Zip)

   Email: ___________________________  Tel: ___________________  Cell: __________

4. Inspector of Record:

   Address: ________________________________________________________________
   (Street Address)       '       (City)       '       (State & Zip)

   Email: ___________________________  Tel: ___________________  Cell: __________

5. Your Regional Office Information:

   State the license number and license classification(s) under which you completed the work:
   __________________________________________________

   Provide the name of your superintendent assigned to the work:
   __________________________________________________

   Is this same person that you are proposing to be the superintendent on the University’s project? ☐ Yes or ☐ No

   Provide the name of your project manager assigned to the work:
   __________________________________________________

   Is this same person that you are proposing to be the project manager on the University’s project? ☐ Yes or ☐ No

   Provide the name of your LEED AP assigned to the work:
   __________________________________________________

   Is this same person that you are proposing to be the LEED AP on the University’s project? ☐ Yes or ☐ No

   Provide the address for the office that directly managed the work:
   __________________________________________________
   (Street Address)       '       (City)       '       (State & Zip)
6. **Project Delivery Method:** (CM NOT AT RISK DOES NOT QUALIFY.)

   Project Delivery Method: Check one:  
   - [ ] CM at Risk  
   - [ ] Design-Bid-Build  
   - [ ] Design-Build  
   - [ ] Other, specify: ________________________________

7. **Schedule:**

   Original Start Date: ____________________________  
   Actual Start Date: ____________________________  
   Original Completion Date: ________________________  
   Actual Completion Date: ________________________  
   # of Time Extensions: ____________________________

   Number of calendar days extension due to **conflicts in construction documents:** ________________

   Number of calendar days extension due to **unforeseen conditions:** ________________

   Number of calendar days extension due to **design errors/omissions:** ________________

   Number of calendar days extension due to **regulatory agency requirements:** ________________

   Number of calendar days extension due to **owner initiated changes:** ________________

   [ ] On a separate page, provide an explanation for each time extension due to prospective bidder or not otherwise included above and attach it to this Prequalification Questionnaire.

8. **Contract Amount:**

   Original Contract Award $ ________________
   Change Orders $ ________________  
   Final Contract Amount $ ________________

   Number of Change Orders: ________________

   Amount of Change Orders due to **conflicts in construction documents:** $ ________________

   Amount of Change Orders due to **unforeseen conditions:** $ ________________

   Amount of Change Orders due to **design errors/omissions:** $ ________________

   Amount of Change Orders due to **regulatory agency requirements:** $ ________________

   Amount of Change Orders due to **owner initiated changes:** $ ________________

9.a. **Project Description:**

   (1) Did you complete the construction work acting as the general contractor?  
   - [ ] Yes or  [ ] No

   (2) Did you complete your construction work acting as the subcontractor?  
   - [ ] Yes or  [ ] No

   (3) Construction Type: ________________________________

   (4) Describe your entire Scope-of-Work related to the Project for which you are applying to prequalify. Attach a separate page if necessary.

9.b. **Construction Experience:** (Construction Experience Requirement 6.A.1.)

   (2) The project involved: (Check all that apply. Each is worth 10 Points. 10 Points required to pass.)
   
   - [ ] Chillers  
   - [ ] Cooling Tower

   (3) The size of the chiller installed: (Pass/Fail)
   
   ________ tons
10. Staff Rating – Construction: (Must score at least 35 points to pass.)

(1) The originally assigned project manager remained assigned and involved with the project for the following duration:
- [ ] More than 95% of the construction contract time (10 points)
- [ ] 75% - 95% of the construction contract time (5 points)
- [ ] Less than 75% of the construction contract time (0 points)

(2) The originally assigned superintendent remained assigned and involved with the project for the following duration:
- [ ] More than 95% of the construction contract time (10 points)
- [ ] 75% - 95% of the construction contract time (5 points)
- [ ] Less than 75% of the construction contract time (0 points)

(3) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?

How many times did you submit your schedule update on time?
- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(4) How many times did the Owner or Owner’s Representative approve your schedule update?
- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(5) How many times did you submit your applications for payment on time in the form required by your contract?

How many times did you submit your applications for payment on time in the form required by your contract?
- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(6) When were you required to submit updated redlined as-builts?

How many times did you submit your updated redlined as-builts on time in the form required by your contract?
- [ ] More than 90% of the time = More than satisfactory (10 points)
- [ ] 75% - 90% of the time = Satisfactory (5 points)
- [ ] Less than 75% of the time = Needs improvement (0 points)

(7) How would the entity that held your contract describe your overall performance and compliance with the contract requirements?
- [ ] More than satisfactory (10 points)
- [ ] Satisfactory (5 points)
- [ ] Needs improvement (0 points)
11. **Liquidated Damages and Claims:**
   a. Were liquidated damages assessed against you? □ Yes or □ No  If “Yes,” then state amount: $ _____
   b. Were actual damages assessed against you? □ Yes or □ No  If “Yes,” then state amount: $ _____
   c. Were back charges assessed against you? □ Yes or □ No  If “Yes,” then state amount: $ _____

   If you answered “Yes” to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire:
   1. Amount of initial claim
   2. Resolution and amount of final claim
   3. Source of claim (e.g., subcontractor, etc.)

d. Did you file a/any claim(s) on the referenced project? □ Yes or □ No
   If “Yes,” complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.

12. **Responsible Performance on the Project Provided on the Project Data Sheet:**
    (Pass/Fail Section)
    a. Have you listed any negative references for the Project above?
       YES □ NO □
    b. Have you provided any information that would conflict with a reference verification check?
       YES □ NO □
    c. Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
       YES □ NO □

   **END OF PROJECT DATA SHEET NO. 2**
PROJECT DATA SHEET NO. 3

1. Project Name: __________________________________________

Location: ________________________________________________
         (Street Address)  '  (City)  '  (State & Zip)

2. Owner:

Contact Name: ____________________________________________ Tel: __________________  Cell: __________
Contact Title: ____________________________________________ Email: __________________

Owner was □ a private entity  □ California State University  □ University of California
   □ 4-Year Private College or University: ____________________________
   □ Other public entity: _________________________________________

Did the Owner hold your contract? □ Yes or □ No
If “No,” then provide the contact information for the entity that held your contract:
   Company Name: ____________________________________________
   Contact Person: ____________________________ Tel: __________ Cell: __________ Email: ________________

3. Design Professional of Record:

Address: _________________________________________________
         (Street Address)  '  (City)  '  (State & Zip)
Email: ________________________________________________________________________________
Tel: __________________  Cell: __________

4. Inspector of Record:

Address: _________________________________________________
         (Street Address)  '  (City)  '  (State & Zip)
Email: ________________________________________________________________________________
Tel: __________________  Cell: __________

5. Your Regional Office Information:

State the license number and license classification(s) under which you completed the work: ________________
Provide the name of your superintendent assigned to the work: _________________________________________
Is this same person that you are proposing to be the superintendent on the University’s project? □ Yes or □ No
Provide the name of your project manager assigned to the work: _________________________________
Is this same person that you are proposing to be the project manager on the University’s project? □ Yes or □ No
Provide the name of your LEED AP assigned to the work: _________________________________
Is this same person that you are proposing to be the LEED AP on the University’s project? □ Yes or □ No
Provide the address for the office that directly managed the work:
         (Street Address)  '  (City)  '  (State & Zip)
6. **Project Delivery Method:** (CM NOT AT RISK DOES NOT QUALIFY.)

   Project Delivery Method: Check one:  
   - ☐ CM at Risk  
   - ☐ Design-Bid-Build  
   - ☐ Design-Build  
   - ☐ Other, specify: __________________________

7. **Schedule:**

   Original Start Date: ________________  Actual Start Date: ________________
   Original Completion Date: ________________  Actual Completion Date: ________________
   # of Time Extensions: __________________

   Number of calendar days extension due to **conflicts in construction documents:** __________________
   Number of calendar days extension due to **unforeseen conditions:** __________________
   Number of calendar days extension due to **design errors/omissions:** __________________
   Number of calendar days extension due to **regulatory agency requirements:** __________________
   Number of calendar days extension due to **owner initiated changes:** __________________

   ☐ On a separate page, provide an explanation for each time extension due to prospective bidder or not otherwise included above and attach it to this Prequalification Questionnaire.

8. **Contract Amount:**

   Original Contract Award $ __________________
   Change Orders $ __________________  Number of Change Orders: ______
   Final Contract Amount $ __________________

   Amount of Change Orders due to **conflicts in construction documents:** $ __________________
   Amount of Change Orders due to **unforeseen conditions:** $ __________________
   Amount of Change Orders due to **design errors/omissions:** $ __________________
   Amount of Change Orders due to **regulatory agency requirements:** $ __________________
   Amount of Change Orders due to **owner initiated changes:** $ __________________

9.a. **Project Description:**

   (5) Did you complete the construction work acting as the general contractor? ☐ Yes or ☐ No
   (6) Did you complete your construction work acting as the subcontractor? ☐ Yes or ☐ No
   (7) Construction Type: __________________________
   (8) Describe your entire Scope-of-Work related to the Project for which you are applying to prequalify. Attach a separate page if necessary.

9.b. **Construction Experience:** (Construction Experience Requirement 6.A.2.)

   (1) **The project involved:** (Pass/Fail)

      Which public college or university did this work occur?

      ____________________________
10. **Staff Rating – Construction: (Must score at least 35 points to pass.)**

(8) The originally assigned project manager remained assigned and involved with the project for the following duration:

- □ More than 95% of the construction contract time (10 points)
- □ 75% - 95% of the construction contract time (5 points)
- □ Less than 75% of the construction contract time (0 points)

(9) The originally assigned superintendent remained assigned and involved with the project for the following duration:

- □ More than 95% of the construction contract time (10 points)
- □ 75% - 95% of the construction contract time (5 points)
- □ Less than 75% of the construction contract time (0 points)

(10) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?

How many times did you submit your schedule update on time?

- □ More than 90% of the time = More than satisfactory (10 points)
- □ 75% - 90% of the time = Satisfactory (5 points)
- □ Less than 75% of the time = Needs improvement (0 points)

(11) How many times did the Owner or Owner’s Representative approve your schedule update?

- □ More than 90% of the time = More than satisfactory (10 points)
- □ 75% - 90% of the time = Satisfactory (5 points)
- □ Less than 75% of the time = Needs improvement (0 points)

(12) How many times did you submit your applications for payment on time in the form required by your contract?

How many times did you submit your applications for payment on time in the form required by your contract?

- □ More than 90% of the time = More than satisfactory (10 points)
- □ 75% - 90% of the time = Satisfactory (5 points)
- □ Less than 75% of the time = Needs improvement (0 points)

(13) When were you required to submit updated redlined as-builts?

How many times did you submit your updated redlined as-builts on time in the form required by your contract?

- □ More than 90% of the time = More than satisfactory (10 points)
- □ 75% - 90% of the time = Satisfactory (5 points)
- □ Less than 75% of the time = Needs improvement (0 points)

(14) How would the entity that held your contract describe your overall performance and compliance with the contract requirements?

- □ More than satisfactory (10 points)
- □ Satisfactory (5 points)
- □ Needs improvement (0 points)
11. **Liquidated Damages and Claims:**
   
es. Were liquidated damages assessed against you? ☐ Yes or ☐ No  If “Yes,” then state amount: $ __________
   
f. Were actual damages assessed against you? ☐ Yes or ☐ No  If “Yes,” then state amount: $ __________
   
g. Were back charges assessed against you? ☐ Yes or ☐ No  If “Yes,” then state amount: $ __________
   
   If you answered “Yes” to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire:
   
   (5) Amount of initial claim
   (6) Resolution and amount of final claim
   (7) Source of claim (e.g., subcontractor, etc.)
   (8) Method of resolution.
   
h. Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No  
   If “Yes,” complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.

12. **Responsible Performance on the Project Provided on the Project Data Sheet:**
    (Pass/Fail Section)
    
a. Have you listed any negative references for the Project above?

    YES ☐ NO ☐

b. Have you provided any information that would conflict with a reference verification check?

    YES ☐ NO ☐

c. Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?

    YES ☐ NO ☐

**END OF PROJECT DATA SHEET NO. 3**
RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Each “NO” is worth 10 points. Must score 70 or more points to pass. At its sole discretion, the University may award 5 points due to mitigating circumstances.

If you answer “YES” to any question, provide an explanation on a separate page and attach it to this Prequalification Questionnaire.

A. Has bidder provided any inaccurate or incorrect information required during prequalification, qualification, bidding, or required by contract documents?
   YES □   NO □

B. Is bidder currently debarred from work by a public entity in California?
   YES □   NO □
   If “YES,” provide name of public entity: _______________________

C. Has bidder performed any work without the required contractor’s license?
   YES □   NO □

D. Is bidder currently the debtor in a bankruptcy case?
   YES □   NO □

E. Has bidder used unlicensed or improperly licensed subcontractors?
   YES □   NO □

F. Has bidder substituted a subcontractor without an owner’s written consent?
   YES □   NO □

G. Has bidder failed to adhere to contractually required and agreed-upon schedules?
   YES □   NO □

H. Has bidder ever been convicted of a criminal offense in connection with current or past contracts for projects?
   YES □   NO □

I. Does bidder have a history of poor past performance of work as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, termination for cause, or repeated failure to provide proper supervision required by the contract documents?
   YES □   NO □

J. Has a public entity ever had to issue bidder a unilateral change order because bidder refused to execute a change order and perform the change order work?
   YES □   NO □
(2) **STAFFING (Pass/Fail Section)**

**A.** The University requires the prospective bidder to assign a **full-time** superintendent to the Project.

Name of Superintendent: ___________________________  Tel: ___________________

Email: ___________________________  Cell: ___________________

(1) You must **complete and submit detailed resume** that demonstrates that the above named individual has construction experience substantially similar to that specified in Section 6.A. of this Prequalification Questionnaire.

(2) **By signing the Prequalification Declaration of this Questionnaire, you agree that the above named individual is subject to the University’s approval, and is subject to replacement by you at University’s sole request during the Prequalification and Bidding periods for this Project, and if awarded the Contract for the Project, after award. Any individual approved by the University cannot be replaced by you without University’s written consent.**

**B.** The University requires the prospective bidder to assign a **full-time** project manager to the Project. Project Manager may be the listed Superintendent.

Name of Project Manager: ___________________________  Tel: ___________________

Email: ___________________________  Cell: ___________________

(1) You must complete and submit detailed resume that demonstrate that the above named individual has construction experience substantially similar to that specified in Section 6.A. of this Prequalification Questionnaire.

(2) **By signing the Prequalification Declaration of this Questionnaire, you agree that the above named individual is subject to the University’s approval, and is subject to replacement by you at University’s sole request during the Prequalification and Bidding periods for this Project, and if awarded the Contract for the Project, after award. Any individual approved by the University cannot be replaced by you without University’s written consent.**

**C.** The individual(s) named above must be assigned to the office that will be assigned to the Project by Contractor. Provide the address of your office to be assigned to this Project:

__________________________  ___________________________  ___________________________

(Street Address)  (City)  (State & Zip)

The above office must be within **90 miles** of the UCR Capital Programs office at 1223 University Avenue, Suite 240, Riverside, CA 92521.

**Any change in the office assigned must be approved by the University and cannot be replaced without University’s written consent.**
(3) SAFETY PROGRAM (Pass/Fail Section)

A. Has bidder instituted an injury and illness prevention program (IIPP) pursuant to Section 3201.5 or 6401.7 of the Labor Code?

YES □    NO □

If “YES,” then □ attach a copy of the Table of Contents from bidder’s IIPP.

B. Will bidder have personnel permanently assigned and dedicated full-time to Safety on this Project? **May be the listed Superintendent or Project Manager.**

YES □    NO □

If “YES”, provide the name(s) and title(s) of the person(s):

Name and Title: ____________________________________________________________

If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.

C. Has bidder had a serious and willful violation of Part 1 (commencing with Section 6300) of Division 5 of the Labor Code within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES □    NO □

D. Not Used.

(4) LABOR COMPLIANCE (Pass/Fail Section)

A. Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES □    NO □

*Does not include a violation determined to be due to inadvertent or unintentional error by the California Department of Industrial Relations. If a violation due to inadvertent or unintentional error, then on a separate page attached to this Prequalification Questionnaire, identify the violation by providing the project name, date of the violation, name of the entity (or entities), a brief description of the nature of the violation, and a brief description of the status of the violation (e.g., pending, or if resolved, a brief description of the resolution, etc.) for the University’s verification.

B. At any time within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, has the prospective bidder been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

YES □    NO □
(5) QUALITY ASSURANCE/QUALITY CONTROL (QA/QC) PROGRAM (Pass/Fail Section)

A. Does bidder have a written quality assurance/quality control program?

YES ☐ NO ☐

If “YES,” ☐ attach a copy of bidder’s QA/QC program Table of Contents Only.

B. Will bidder have personnel permanently assigned and dedicated full-time to QA/QC on this Project? May be the listed Superintendent or Project Manager.

YES ☐ NO ☐

If “YES”, provide the name(s) and title(s) of the person(s):

Name and Title: _____________________________

If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.

(6) DISCIPLINARY MEASURES HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES ☐ NO ☐

(7) FALSE CLAIMS HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES ☐ NO ☐

(8) TERMINATION HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been terminated for cause by an owner after construction commenced within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES ☐ NO ☐

(9) LIQUIDATED DAMAGES (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been assessed liquidated damages of more than $50,000 on a construction contract with either a public or private owner within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES ☐ NO ☐
(10) CLAIMS HISTORY (Points Section)

Each prospective bidder will be evaluated to determine if the bidder and/or persons or entities associated with the bidder have a history of having unmeritorious claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration.

In order to be evaluated, each prospective bidder must complete the Claims History portion of this Prequalification Questionnaire. Based on the information provided, each prospective bidder will be assigned a Claims History score. The maximum possible score is 15. Must score 10 or more points to pass this Section.

Two (2) points will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted by or on their behalf that were resolved by trial court judgment, arbitration award or settlement calling for receipt of less than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Additionally, two (2) points will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted against them, that were resolved by trial court judgment, arbitration award or settlement calling for receipt of more than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Any prospective bidder with a score deduction of 6 or more points will presumptively be considered not prequalified because the prospective bidder and/or persons or entities associated with the bidder have been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

The presumption may be rebutted if the University determines, after investigating any explanation offered in providing the Claims History, that the prospective bidder and/or persons or entities associated with the bidder have not been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

If the presumption is not rebutted, the prospective bidder will be deemed to have an unacceptable Claims History, and will not be prequalified for the Project that is the subject of this prequalification process.

As used herein:

“Lawsuit” means any lawsuit commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

“Arbitration” means any binding arbitration commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

“Claim” means a claim (excluding claims solely for the enforcement of stop notices) arising from design and/or construction work and includes, without limitation, claims for extra compensation and damages (including delay, disruption and acceleration damages, but excluding claims for personal injury or death), and claims for defective design or construction work.
“Pass-Through Claim” has the meaning commonly ascribed to it in the construction industry and also includes (i) any claim that was or is asserted by the prospective bidder, in whole or in part, against an Owner on behalf of a different person or entity; and (ii) any claim that was or is asserted by an Owner against the prospective bidder, and that was subsequently reasserted, in whole or in part, against a different person or entity.

A. Has bidder failed to be prequalified, in part or in whole, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, for failure to provide requested information regarding past litigation or arbitration history?

YES □ NO □ (5 Points)

B. Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims) asserted by or on behalf of the entity exceeded $50,000?

YES □ NO □ (5 Points)

If “YES,” then how many? ________________

For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.

C. Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims, and claims for indemnity or contribution) against the entity exceeded $50,000?

YES □ NO □ (5 Points)

If “YES,” then how many? ________________

For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.
CLAIM DATA SHEET No. ___

A separate Claim Data Sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against the prospective bidder and/or persons or entities associated with the prospective bidder.

1. **Case Name and Number:**
   ________________________________
   **Date Arbitration or Litigation Commenced:**
   ________________________________
   **Name of Court or Arbitration Service:**
   ________________________________
   **Location of Court or Arbitration Service:**
   ________________________________

   **Address:**
   ________________________________
   (Street Address)  ⋅  (City)  ⋅  (State & Zip)

2. **Project Name:**
   ________________________________
   **Project or Contract Number:**
   ________________________________

   **Location:**
   ________________________________
   (Street Address)  ⋅  (City)  ⋅  (State & Zip)

3. **Owner:**
   ________________________________
   **Contact Name:**
   ________________________________
   Tel: __________________
   Cell: __________________
   **Contact Title:**
   ________________________________
   **Email:**
   ________________________________

   Did the Owner hold your contract? ☐ Yes or ☐ No
   If “No,” then provide the contact information for the entity that held your contract:
   **Company Name:**
   ________________________________
   **Contact Person:**
   ________________________________
   Tel: __________________
   Cell: __________________
   **Email:**
   ________________________________

4. **Description of Claims:** (Attach a separate page if necessary.)

   **Highest Amount Sought For All Claims:** $ ________________
   **Amount Recovered:** $ ________________
   **Date of Claim Resolution:** ________________________________
   **Method of Resolution:** (Check one.)
   ☐ Judgment  ☐ Arbitration Award  ☐ Settlement
   ☐ Other, describe: ________________________________
PREQUALIFICATION DECLARATION

I, ___________________________ , hereby declare that I am the __________________________ of __________________________, submitting this Prequalification Questionnaire; that I am duly authorized to sign this Prequalification Questionnaire on behalf of the above named bidder; and that all information set forth in this Prequalification Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date.

I declare, under penalty of perjury, that the foregoing is true and correct and that this Declaration was executed in:

________________________________________, in the State of __________________________

on __________________________.

________________________________________

END OF PREQUALIFICATION QUESTIONNAIRE
**PREQUALIFICATION QUESTIONNAIRE EVALUATION**

October 28, 2016

For

**PHP CENTRAL PLANT DEFERRED MAINTENANCE**

PROJECT NO. 950557

CONTRACT NO. 950557-LF-2017-67

UNIVERSITY OF CALIFORNIA, RIVERSIDE

(TO BE COMPLETED BY UNIVERSITY)

Bidder’s Name: ________________________________

### 1. SURVEY (Information Only)

### 2. LICENSE(S) (Pass/Fail Section)

- **A. Bidder checked “YES”** that bidder holds the required California contractor’s license which is current and active with the California Contractor’s State License Board.
  - (If a joint venture, the joint venture bidder holds the requisite California contractor’s license.)
  - Yes – Requirement Met
  - No – Not Prequalified

- **B. Complete information about the bidder’s contractor license provided.**
  - Yes – Requirement Met
  - No – Not Prequalified

- **C. Bidder checked “NO”** that bidder’s contractor’s license has not been suspended or revoked by the California Contractor’s State License Board within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.
  - Yes – Not Prequalified
  - No – Requirement Met

### 3. Not Used

### 4. SURETY (Pass/Fail Section)

- **A. Surety to be used is authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer** (as defined in the California Code of Civil Procedure Section 995.120).
  - Yes – Requirement Met
  - No – Not Prequalified

- **B. Bidder is able to obtain bonding up to $1,400,000.00 for this Project.**
  - Yes – Requirement Met
  - No – Not Prequalified

- **C. Bidder checked “NO”** that no surety has paid out any monies on claims on a performance bond issued for the benefit of an owner arising out of construction activities of the bidder within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.
  - Yes – Not Prequalified
  - No – Requirement Met
D. **Bidder checked “NO”** that no surety has paid out any monies on claims on a payment bond issued for the benefit of an owner arising out of the construction activities of the bidder within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

- Yes – Not Prequalified
- No – Requirement Met

E. Surety Declaration duly completed and submitted with an original notarization of Surety’s Representative’s signature.

- Yes – Requirement Met
- No – Not Prequalified

### 5. INSURER (Pass/Fail Section)

A. Bidder is able to obtain insurance in the specified limits for the required coverages.

- Yes – Requirement Met
- No – Not Prequalified

B. Insurance Declaration or insurer’s certificate of insurance or letter has been provided that is signed and attached to the Prequalification Questionnaire.

- Yes – Requirement Met
- No – Not Prequalified

### 6. CONSTRUCTION EXPERIENCE (Pass/Fail Section)

A. Bidder has submitted the Project Data Sheets as required and has the required construction experience per Section 6.A.

- Yes – Requirement Met
- No – Not Prequalified

B. If applicable, bidder has satisfied the requirements of a predecessor business.

- Yes – Requirement Met
- No – Not Prequalified
- Not Applicable

### 7. RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Bidder scored 70 or more points.

- Yes – Requirement Met
- No – Not Prequalified

### 8. STAFFING (Pass/Fail Section)

A. Designated a Superintendent to be assigned full-time to the Project. The designated Superintendent has construction experience required per Section 6.A. of the Prequalification Questionnaire as evidenced by the Superintendent’s resume attached to the Prequalification Questionnaire.

- Yes – Requirement Met
- No – Not Prequalified

B. Designated a Project Manager to be assigned full-time to the Project. The designated Project Manager has construction experience required per Section 6.A. of the Prequalification Questionnaire as evidenced by the Project Manager’s resume attached to the Prequalification Questionnaire.

- Yes – Requirement Met
- No – Not Prequalified

C. The Superintendent and Project Manager named above are assigned to the office that will be assigned to the Project, and the office is within **90 miles** of the UCR Capital Programs office.

- Yes – Requirement Met
- No – Not Prequalified
### 9. SAFETY PROGRAM (Pass/Fail Section)

| A. Bidder checked “YES” that bidder has instituted an IIPP pursuant to Section 3201.5 or 6401.7 of the Labor Code, and ☐ attached a copy of the table of contents to its IIPP. | ☐ Yes – Requirement Met  
☐ No – Not Prequalified |
| B. Bidder checked “YES” that bidder will have personnel permanently assigned and dedicated full-time to safety on this Project, and ☐ provided the name(s) and title(s) of the person(s). | ☐ Yes – Requirement Met  
☐ No – Not Prequalified |
| C. Bidder checked “NO” that bidder has not had a serious and willful violation of Part 1 (Commencing with Section 6300) of Division 5 of the Labor Code within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire. | ☐ Yes – Not Prequalified  
☐ No – Requirement Met |

D. Not Used.

### 10. LABOR COMPLIANCE (Pass/Fail Section)

| A. Bidder checked “NO” that bidder has not committed a prevailing wage violation within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire; or checked “YES” but submitted written evidence that the violation was due to inadvertent or unintentional error. | ☐ Yes – Not Prequalified, unless inadvertent or clerical error.  
☐ No – Requirement Met |
| B. Bidder checked “NO” that bidder has not been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works at any time within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire. | ☐ Yes – Not Prequalified  
☐ No – Requirement Met |

### 11. QUALITY ASSURANCE/QUALITY CONTROL PROGRAM (QA/QC) (Pass/Fail Section)

| A. Bidder checked “YES” that bidder has a written quality QA/QC program, and ☐ attached a copy of its QA/QC program Table of Contents Only. | ☐ Yes – Requirement Met  
☐ No – Not Prequalified |
| B. Bidder checked “YES” that bidder will have personnel permanently assigned and dedicated full-time to QA/QC on the Project, and ☐ provided the name(s) and title(s) of its personnel permanently assigned and dedicated to QA/QC on the Project. May be the listed Superintendent or Project Manager. | ☐ Yes – Requirement Met  
☐ No – Not Prequalified |

### 12. DISCIPLINARY MEASURES (Pass/Fail Section)

Bidder checked “NO” that bidder (or any member of the entity if a joint venture or partnership) has not been disqualified, or otherwise barred from doing business with a public agency within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.  

☐ Yes – Not Prequalified  
☐ No – Requirement Met
13. FALSE CLAIMS HISTORY (Pass/Fail Section)

**Bidder checked “NO”** that bidder (or any member of the entity if a joint venture or partnership) has not been found in a final decision of a court to have submitted a false claim to a public agency within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

- Yes – Not Prequalified
- No – Requirement Met

14. TERMINATION HISTORY (Pass/Fail Section)

**Bidder checked “NO”** that bidder (or any member of the entity if a joint venture or partnership) has not been terminated for cause by an owner after construction commenced within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

- Yes – Not Prequalified
- No – Requirement Met

15. LIQUIDATED DAMAGES (Pass/Fail Section)

**Bidder checked “NO”** that bidder (or any member of the entity if a joint venture or partnership) has not been assessed liquidated damages of more than $50,000 on a construction contract with either a public or private owner in the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

- Yes – Not Prequalified
- No – Requirement Met

16. CLAIMS HISTORY (Points Section)

**Bidder scored 10 or more points.**

- Yes – Requirement Met
- No – Not Prequalified

All required documents have been submitted and the Declaration is signed.

- Yes - Requirement Met
- No - Not-Prequalified

**Analysis Completed By:**

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**Reviewed and Approved By:**

- 

_Vilma Kern_  
Contracts Administrator

(Title)